TWO PATHS TO THE MOON

NEEP 533 LECTURE 39 Harrison H. Schmitt

SPACE POLICY 2004

PRESIDENT GEORGE W. BUSH HUMAN EXPLORATION INITIATIVE JANUARY 14, 2004

United States

"RENEWED SPIRIT OF DISCOVERY"

- NASA COMMITTED TO LONG TERM HUMAN AND ROBOTIC SPACE EXPLORATION
 - ENABLE EXPLORATION OF MARS AND OTHER DESTINATIONS
 - RETURN TO THE MOON TO FACILITATE THIS
 - NEW FOCUS FOR NASA
 - AFFORDABLE, SUSTAINABLE, HIGH LEVELS OF SAFETY
 - TECHNOLOGICAL BENEFITS ON EARTH

NASA'S NEW AGENDA - 1

- COMPLETE ISS BY 2010
 - RETURN SPACE SHUTTLE TO FLIGHT 2005
 - RETIRE SPACE SHUTTLE BY 2010
 - FOCUS ISS RESEARCH ON BIOMEDICINE
- DEVELOP CEV BY 2014
 - FERRY TO ISS
 - MISSIONS BEYOND EARTH ORBIT
 - OTHER?

NASA'S NEW AGENDA - 2

- **RETURN TO MOON BY 2015-2020**
 - INCREASINGLY LONG STAY-TIMES
 - DEVELOP NEW EXPLORATION TECHNOLOGIES
 - HARNESS LUNAR RESOURCES
 - MISSIONS TO MARS AND BEYOND
 - ROBOTIC LUNAR MISSIONS BY 2008
 - INCREASED ROBOTIC EXPLORATION OF SOLAR SYSTEM

FY 2005-2010 NASA BUDGETS (REQUESTED)

- CURRENT FY 2004 BUDGET = \$15.4 B
- FY 2005: ADD \$800 M
- FY 2006-2010: ADD \$200 M PER YEAR
 - RE-ALLOCATE \$11 B FROM WITHIN 5 YEAR BASE PLAN OF \$86 B
 - NASA ADMINISTRATOR WILL REVIEW ALL CURRENT PROGRAMS
 - REDIRECT TOWARD PRESIDENT'S NEW GOALS
- CONGRESSIONAL RELUCTANCE

PRESIDENTIAL COMMISSION ON IMPLEMENTATION OF US SPACE EXPLORATION POLICY

- PETE ALDRICH CHAIRMAN
- **REPORT IN FOUR MONTHS**
- INDEFINITE LIFE ?

ARE THERE TWO PATHS TO THE MOON?

NASA'S NEW VISION AND A PRIVATE ENTREPRENEURIAL INITIATIVE

WHY TWO PATHS FOR PRIVATE AND GOVERNMENT SECTORS?

- PROGRESS SOMETIMES REQUIRES A CATALYST
 - THRESHOLD FOR PRIVATE INVESTMENT MAY BE TOO HIGH
 - STILL NEEDED ADVANCEMENT FOR PUBLIC GOOD
 - MUST AVOID GOVERNMENT'S MISDIRECTION OF TECHNOLOGY
 - SPACE SHUTTLE DEVELOPMENT IN 1970s
 - SATURN SYSTEM ALTERNATIVE
 - SOLAR ENERGY EMPHASIS IN 1970s
 - TECHNOLOGY NOT READY
 - NET COSTS NOT COMPETITIVE
 - SYNFUELS / OIL SHALE EMPHASIS IN 1980s
 - WASTE DISPOSAL INTRACTABLE
 - NON-COMPETITIVE COSTS
 - ELECTRIC AUTOMOBILES IN THE 1990s
 - ENERGY STORAGE TECHNOLOGY NOT READY
 - INCREASED USE OF FOSSIL FUELS ABSENT COMMITMENT TO FISSION
 - D/T MAGNETIC FUSION IN THE 2000s
 - INHERENTLY NON-COMMERCIAL

WHY TWO PATHS FOR PRIVATE AND GOVERNMENT SECTORS?

- DUAL ROLES TRADITIONAL IN U.S. HISTORY
 - TRANSPORTATION: ARMY AND NACA
 - TURNPIKES, CANALS, RAILROADS, LOCKS AND DAMS, AERONAUTICS
 - AGRICULTURE: LAND GRANT COLLEGES
 - RESEARCH AND DEVELOPMENT OF CROPS AND TECHNOLOGY
 - COMMUNICATIONS: REGULATORY AGENCIES, NIST, AND NASA
 - STANDARDS AND SATELLITES
 - MEDICINE: NATIONAL INSTITUTES OF HEALTH AND ARMY
 - BASIC MEDICAL RESEARCH AND LARGE SCALE TRIALS

COMPARISON OF TWO PATHS - 1

GOVERNMENT

- HUMAN TENDED BASE
- POLICY DRIVEN IMPLEMENTATION
- OVERSIGHT BY PRESIDENTIAL COMMISSION ?
- HEADQUARTERS PROGRAM & PROJECT MANAGEMENT
- HIGH OVERHEAD, DIVERSE FUNCTION POLITICAL "CENTERS"
- MID-CAREER TO RETIREMENT WORKFORCE
- CURRENT STABLE OF ELVs
 - 25-30 TONNES TO LE0
 - 5-6 TONNES TO MOON

PRIVATE

- PERMANENT SETTLEMENT
- RETURN ON INVESTMENT
 DRIVEN IMPLEMENTATION
- OVERSIGHT BY INDEPENDENT BOARD OF DIRECTORS
- CENTRALIZED PROGRAM / DELEGATED PROJECT MGT.
- FOCUSED CENTERS OF EXCELLENCE
- YOUNG WORKFORCE / MID-CAREER MANAGERS
- NEW HEAVY LIFT ROCKET
 - 250-500 TONNES TO LEO
 - 50-100 TONNES TO MOON

COMPARISON OF TWO PATHS - 2

GOVERNMENT

- SHUTTLE & ISS TO 2010
 - FUNDING LIMITATION/ MANAGEMENT DISTRACTION
 - \$800M FY 2005 THRESHOLD
- RETURN TO MOON BY 2015
- INTERNATIONAL PARTNERS ?
- MULTIPLE PURPOSE CEV
- MULTIPURPOSE ROBOTIC MISSIONS
- INTERNALLY SPONSORED MEDICAL RESEARCH
- GOVERNMENT SPONSORED

PRIVATE

- BRIDGING BUSINESSES TO 2014
 - TECHNOLOGY/MATURATION/ RETURN ON INVESTMENT
 - \$15 M CAPITAL THRESHOLD
- RETURN TO MOON BY 2015
- INTERNATIONAL INVESTORS
 & WORKFORCE
- SPECIAL PURPOSE SPACECRAFT
- SPECIAL PURPOSE ROBOTIC MISSIONS, IF ANY
- NIH / UNIVERSITY PARTNERS IN MEDICAL RESEARCH
- GOVERNMENT REGULATED

MUTUAL BENEFIT FROM TWO PATHS -1

- MAJOR PUBLIC BENEFITS FROM PRIVATE INITIATIVE
 - LOW COST, LARGE ROCKETS
 - PLANETARY EXPLORATION
 - NATIONAL DEFENSE
 - ASTEROID IMPACT PROTECTION
 - LUNAR TOURISM
 - ACCESS TO LUNAR RESOURCES
 - PLANETARY EXPLORATION CONSUMABLES
 - EARTH ORBIT CONSUMABLES
 - DEVELOPMENT OF COMMERCIAL HELIUM-3 FUSION POWER
 - CONTINUED ECONOMIC GROWTH AND COMPETITIVENESS
 - CLEAN ENERGY FOR TERRESTRIAL DEVELOPMENT
 - MEDICAL APPLICATIONS OF FUSION TECHNOLOGY
 - EXPLOSIVES DETECTION, INCLUDING LAND MINES
 - CLEAN-UP OF FISSION RADIOACTIVE WASTE
 - PLANETARY EXPLORATION PROPULSION

MUTUAL BENEFIT FROM TWO PATHS -2

- MAJOR PRIVATE INITIATIVE BENEFITS FROM GOVERNMENT EFFORT
 - CREDIBILITY WITH INVESTORS: "MOON IS IN PLAY"
 - DICHOTOMY OF APPROACHES TO RETURN TO THE MOON
 - MORE CONCEPTUAL APPROACHES CONSIDERED
 - ENGINEERING AT COMPONENT, SUBSYSTEM AND SYSTEMS LEVEL
 - MORE OPTIONS FOR BENEFICIAL OUTCOMES
 - COLLECTION OF LUNAR DATA SETS RELATED TO RESOURCES
 - 2008 LUNAR ORBITER
 - POSSIBLE LUNAR NAVIGATION SYSTEM
 - SPACE BIOMEDICAL RESEARCH AT ISS ?
 - CHAMPION IN INTERNATIONAL FORUMS

UNIQUE REQUIREMENTS: GOVERNMENT

- USE EXISTING LAUNCH VEHICLES
- MULTI-PURPOSE SPACECRAFT ISS AND MOON AND ?
- EARTH ORBIT RENDEZVOUS IMPLEMENTATION MODE
- MULTI-PURPOSE LUNAR VEHICLES AND FACILITIES
- MULTI-PURPOSE ROBOTIC LUNAR MISSIONS

UNIQUE REQUIREMENTS: PRIVATE LUNAR INITIATIVE

- HEAVY LIFT, LOW COST LAUNCH VEHICLE
- LOWEST COST, GREATEST PAYLOAD LANDING MODULE
- LUNAR ORBIT RENDEZVOUS IMPLEMENTATION MODE ?
- LUNAR VEHICLES / FACILITIES FOCUSED ON RESOURCE RECOVERY
- HIGHLY MOBILE / LOW MAINTENANCE SPACE SUITS
- GLOVE DEXTERITY OF THE HUMAN HAND
- DESIGN FOR INDEFINITE OPERATIONAL LIFE
 - IMBEDDED DIAGNOSTICS
 - ANTICIPATORY MAINTENANCE BASED ON LIFE CYCLE TESTING
 - MODULAR REPLACEMENT AND REPAIR
- REQUIRED PRECURSOR ROBOTIC MISSIONS WILL BE LOW COST AND DATA SPECIFIC
 ALL CAN BE BENEFICIAL TO NASA

POTENTIAL SYNERGISM BETWEEN NASA AND PRIVATE PATH

- **ROBOTIC EXPLORATION**
- SPACECRAFT SUB-SYSTEMS
- HABITATS
- ROVER SUB-SYSTEMS
- SPACE SUIT SUB-SYSTEMS
- LUNAR NAVIGATION SYSTEM
- MEDICAL RESEARCH

GOVERNMENT: MANAGEMENT SYSTEM

- SENIOR MANAGEMENT RESPONSIVE TO POLITICAL INTERESTS
 - DECISION MAKING TOP DOWN
 - INEFFICIENT REPORTING STRUCTURE THE NORM
- SUSTAINABILITY OF FUNDING UNCERTAIN YEAR TO YEAR
 - WHITE HOUSE SUPPORT
 - OMB SUPPORT
 - CONGRESSIONAL SUPPORT
 - MEDIA SUPPORT
 - PUBLIC SUPPORT
- HIRING / FIRING BASED ON CIVIL SERVICE CONSTRAINTS
 - DIFFICULT TO KEEP AGENCY "YOUNG"
- INFRASTRUCTURE INHERITED AND POLITICALLY FIXED
 - RESEARCH AND OPERATIONAL CENTERS FIXED
 - LAUNCH LOCATION FIXED
 - CONTRACTOR BASE LIMITED TO THREE MAJORS
- COORDINATION WITH DOD AND REGULATORY AGENCIES

PRIVATE INITIATIVE: MANAGEMENT SYSTEM

- SENIOR MANAGEMENT RESPONSIVE TO SHAREHOLDER INTERESTS
 - DECISION MAKING DELEGATED
 - EFFICIENT REPORTING STRUCTURE THE NORM
- SUSTAINABILITY OF FUNDING BASED ON PERFORMANCE
 - BOARD OF DIRECTORS SUPPORT
 - SHAREHOLDER SUPPORT
- HIRING / FIRING BASED ON OPERATIONAL REQUIREMENTS
 - COMPANY CAN STAY "YOUNG"
- INFRASTRUCTURE NEW AND CAN CHANGE
 - LOCATION OF RESEARCH AND OPERATIONAL CENTERS OPTIMIZED
 - LAUNCH LOCATION OPTIMIZED
 - CONTRACTOR BASE CAN BE BROAD AND RECREATED
- COORDINATION WITH REGULATORY AGENCIES

PRIVATE INITIATIVE: BOARD OF DIRECTORS

- LEGAL RESPONSIBILITY TO REPRESENT SHAREHOLDERS
- HIRE AND FIRE CHIEF EXECUTIVE OFFICER
- OVERSEE INDEPENDENT FINANCIAL AUDIT PROCESS
- OVERSEE COMPENSATION PLANS
- PLAN MANAGEMENT SUCCESSION
- CREATE AND REVISE STRATEGIC / CONCEPTUAL PLAN
- ASSIST IN GOVERNMENTAL AND CONTRACTOR INTERFACES
- APPROVE ACQUISITIONS AND SPIN-OFFS OF SUPPORT FUNCTIONS OR ANCILLARY BUSINESSES

NOTE: NO COMPARABLE FOCUS OF RESPONSIBILITY FOR A GOVERNMENT AGENCY

PRIVATE INITIATIVE: CORPORATE HEADQUARTERS

- CENTER DIRECTOR SELECTION
- PROGRAM AND OVERALL RISK MANAGEMENT
 - LEVEL ONE SCHEDULES INTEGRATION AND OVERSIGHT
- FINANCIAL PLANNING, MANAGEMENT, AND REPORTING
 - LEVEL ONE INTEGRATION AND OVERSIGHT
- INTEGRATED INFORMATION PROCESSING SYSTEM
- DEFINITION AND OVERSIGHT OF CENTER INTERFACES
- PERSONNEL AND BULK PURCHASING SYSTEMS
- **PREVENTION OF DECISION CREEP**
- REGULATORY INTERFACES AND GOVERNMENT RELATIONS
- INTELLECTUAL PROPERTY CO
 NOTE: THESE ARE ALL FUNCTIONS
 DECUMPED OF NASA DE ADOUADTEM
- GENERAL COUNSEL
 - LAWS AND TREATIES

NOTE: THESE ARE ALL FUNCTIONS REQUIRED OF NASA HEADQUARTERS IN A VERY MUCH MORE COMPLEX ADMINISTRATIVE ENVIRONMENT.

- CENTER ADMINISTRATIVE SUPPORT AS REQUIRED
- FUSION POWER AND ENVIRONMENT FOUNDATION

PRIVATE INITIATIVE: OPERATIONAL CENTERS

- EISENHOWER (?) LAUNCH CENTER (VLC)
 - LAUNCH SYSTEMS DEVELOPMENT AND OPERATIONS
 - LAUNCH SYSTEMS MARKETING, SALES AND SUPPORT
- LOW (?) SPACECRAFT AND FLIGHT CENTER (LSC)
 - SPACECRAFT DEVELOPMENT AND FLIGHT OPERATIONS
 - SPACECRAFT SYSTEMS MARKETING, SALES AND SUPPORT
- SANTARIUS (?) LUNAR RESOURCE CENTER (SLC)
 - RESOURCE EXTRACTION AND REFINING DEVELOPMENT AND SETTLEMENT SUPPORT
 - LUNAR RESOURCES MARKETING, SALES AND SUPPORT
 - LUNAR ANCILLARY BUSINESS MARKETING, SALES AND SUPPORT
- KULCINSKI (?) FUSION CENTER (KFC)
 - FUSION TECHNOLOGY DEVELOPMENT AND BRIDGING BUSINESS INCUBATION
 - POWER PLANT MARKETING, SALES AND SUPPORT

PRIVATE INITIATIVE: LUNAR SETTLEMENT ROLES

- SETTLEMENT HAS SAME STATUS AS A "CENTER"
- **RESOURCE PRODUCTION MANAGEMENT**
- **RESOURCE DISTRIBUTION IMPLEMENTATION**
- MINE PLANNING
- **RESOURCE EXPLORATION**
- SETTLEMENT MANAGEMENT
 - PERSONNEL ASSIGNMENTS AND SCHEDULES
 - AGRICULTURAL PRODUCTION
 - FACILITY AND EQUIPMENT MAINTENANCE
 - OCCUPATIONAL AND PREVENTIVE MEDICINE
 - INVENTORY MANAGEMENT
 - COORDINATION WITH LUNAR RESOURCE CENTER

PRIVATE INITIATIVE SOME DESIGN PRINCIPLES

- FAIL TO OPERATE, FAIL TO MANUAL, FAIL TO SAFE
- INDEFINITE LIFE TO ALL MAJOR HARDWARE
 - MAINTENANCE DESIGN
 - IMBEDDED DIAGNOSTICS / TROUBLE-SHOOTING
 - LIFE TESTING AND PREDICTION
- PARALLEL DESIGNS FOR SYSTEMS CRITICAL TO SAFETY OR BUSINESS SUCCESS
- DESIGN FOR PERIODIC UPGRADES
 - HARDWARE
 - SOFTWARE
- DESIGN FOR MINIMUM DIRECT MONITORING
 - YELLOW-LINE ALARMS
 - SELF-DIAGNOSIS AND REPAIR (?)
- APOLLO CONFIGURATION CONTROL SYSTEM
 - ACTIVIVATE AT SYSTEM LEVEL PDR

PRIVATE INITIATIVE: OPERATIONAL PRINCIPLES -1

- NO LAUNCH STAND-DOWN LONGER THAN TWO SCHEDULED LAUNCHES
- QUALITY CONTROL IS A HIGH PRIORITY, DISPERSED RESPONSIBILITY OF ALL PERSONNEL
- LUNAR WORK CYCLE (FIRST FEW YEARS):
 - 12 HOUR SHIFTS, TWO HOUR OVERLAP OF SHIFTS
 - TWO MINER-PROCESSOR MAINTENANCE HOURS PER 24 HOUR DAY
 - 6 DAY WORK WEEKS, 24 WORK DAYS PER LUNAR MONTH
 - ~4 MINER-PROCESSOR MAINTENANCE DAYS PER LUNAR MONTH
 - 12 WORK MONTHS OUT OF ~13 LUNAR MONTHS PER YEAR
 - ONE MINER-PROCESSOR MAINTENANCE MONTH PER LUNAR YEAR

PRIVATE INITIATIVE: OPERATIONAL PRINCIPLES -2 THE 204/CHALLENGER/COLUMBIA PROCESS

- EACH CENTER WILL HAVE A SPECIFIC PROCESS FOR IDENTIFYING AND WORKING TEST AND OPERATIONAL ANOMALIES
 - NO ANOMALY WILL BE CLEARED BY A CENTER EXCEPT AFTER REVIEW BY AT LEAST TWO LEVELS OF APPROPRIATE MANAGERIAL OVERSIGHT
 - CLEARANCE OF ANOMALIES RELATED TO PERSONNEL OR PUBLIC SAFETY ALSO REQUIRE REVIEW BY FLIGHT CREW COMMANDER, SENIOR TEST DIRECTOR, POWER PLANT MANAGER, AND/OR LUNAR SETTLEMENT MANAGER AS APPROPRIATE
 - A SENIOR CORPORATE MANAGER AND THE CHAIRMAN OF THE RELEVANT BOARD OF DIRECTORS COMMITTEE WILL BE PROMPTLY NOTIFIED OF THE ANOMALY AND OF SUBSEQUENT CLEARANCE DECISION

INTERLUNE INTERMARS INITIATIVE INC. PRELIMINARY ORGANIZATION



MAJOR MANPOWER AREAS

IMPLICATIONS

- **RETURNING TO THE MOON TO STAY**
 - COMPARABLE TO THE FIRST MOVEMENT OF HUMANS OUT OF AFRICA ~150,000 YEARS AGO
 - OR TO THE FIRST MIGRATION OF HUMANS TO NORTH AMERICA IN SEARCH OF FREEDOM ~400 YEARS AGO